



Att

*Detors*  
PATENT  
450100-03296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoshiyuki KUNITO et al.  
Serial No. : 09/894,472  
Filed : June 27, 2001  
For : DATA CONVERTER APPARATUS AND METHOD, DATA  
TRANSMISSION/RECEPTION APPARATUS AND METHOD,  
AND NETWORK SYSTEM  
Art Unit : 2661

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on October 11, 2001

Bruno Polito, Reg. No. 38,580  
Name of Applicant, Assignee or  
Registered Representative

  
Signature

October 11, 2001  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated August 20,  
2001 on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

PATENT  
450100-03296

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

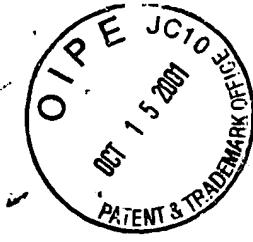
Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Bruno Polito  
Reg. No. 38,580  
Tel. (212) 588-0800

Enclosure



*Receipt*

PATENT  
450100-03296

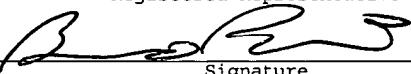
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Name of Applicant, Assignee or  
Registered Representative

  
Signature

October 11, 2001  
Date of Signature

*RECEIVED  
NOV 02 2001  
Technology Center 2600*

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued  
in the above-identified application.

Under Applicant(s) :

Please insert the fourth applicant's name and residence  
--YUTAKA MIYOSHI, KANAGAWA, JAPAN--.

PATENT  
450100-03296

The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Bruno Polito  
Reg. No. 38,530  
Tel. (212) 588-0800

Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
.09/894,472	06/27/2001	2661	1212	450100-03296	16	39	5

CONFIRMATION NO. 5943

William S. Frommer, Esq.  
 FROMMER LAWRENCE & HAUG LLP  
 745 Fifth Avenue  
 New York, NY 10151

RECEIVED

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Technology Center 2600

FILING RECEIPT



\*OC00000006447807\*

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yoshiyuki Kunito, Kanagawa, JAPAN;  
 Atsushi Okamori, Tokyo, JAPAN;  
 Ryusuke Sawatari, Kanagawa, JAPAN;  
 YUTAKA MIYOSHI, CANAGAWA, JAPAN

## Domestic Priority data as claimed by applicant

ZULU AUG 22 A 10:55  
 FROMMER, LAWRENCE  
 & HAUG, LLP

## Foreign Applications

JAPAN 2000-197405 06/29/2000

If Required, Foreign Filing License Granted 08/17/2001

DOCKETED

Projected Publication Date: To Be Determined - pending completion of Missing Parts

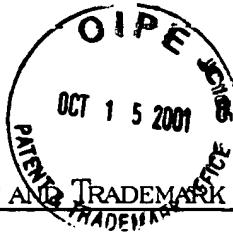
Non-Publication Request: No

Early Publication Request: No

## Title

Data converter apparatus and method, data transmission/reception apparatus and method, and network system

## Preliminary Class



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,472	06/27/2001	Yoshiyuki Kunito	450100-03296

**CONFIRMATION NO. 5943**

**FORMALITIES LETTER**



\*OC00000006447808\*

William S. Frommer, Esq.  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 08/20/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2001 HTECKLU1 00000031 09894472

01 FC:105

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